Overview of the Legislative Budget Board and the Criminal Justice Data Analysis Team

Prepared for the Criminal Justice Forum

October 7, 2011

John O'Brien, Director, LBB

Michele Connolly, Manager, LBB, CJDA Team

What is the Legislative Budget Board?

- Created in 1949
- Chapter 322, Government Code
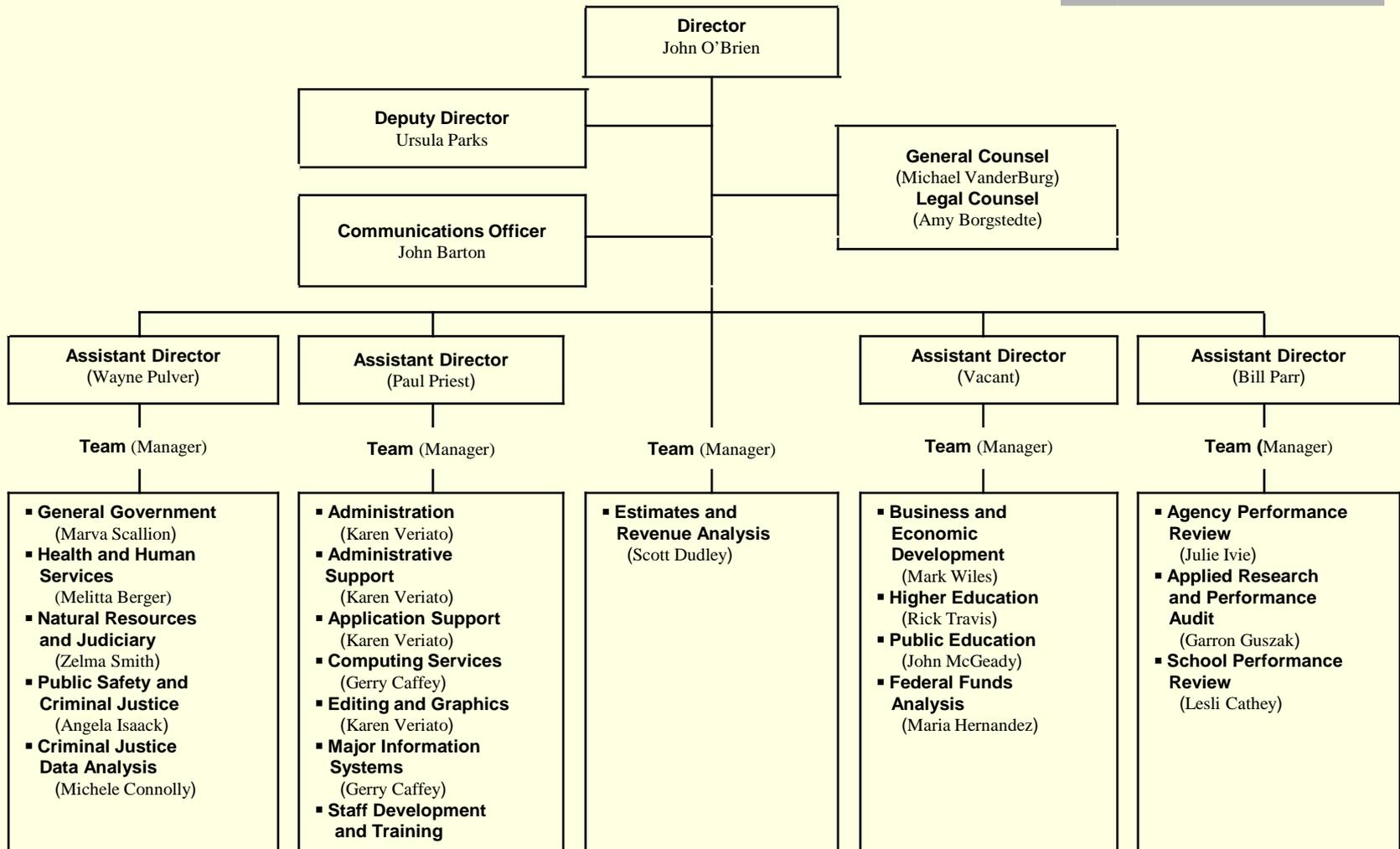- Comprised of 10 Legislators

Lt. Governor David Dewhurst	*Speaker of the House* Joe Straus
Senate Finance Committee Chair Steve Ogden	*House Appropriations Committee Chair* Jim Pitts
Appointed Member Robert Duncan	*House Ways and Means Committee Chair* Harvey Hilderbran
Appointed Member Juan Hinojosa	*Appointed Member* Dan Branch
Appointed Member Judith Zaffirini	*Appointed Member* Sylvester Turner

What is the Legislative Budget Board?

Primary Statutory Responsibilities

- Adopt constitutional spending limit
- Prepare draft of General Appropriations Bill
- Prepare budget estimates document
- Prepare performance report
- Guide, review, and finalize agency strategic plans
- Prepare fiscal notes and impact statements
- Budget execution (when necessary)
- Review public school districts
- Review higher education institutions
- Review efficiency of state agencies

LBB Organization

Director
John O'Brien

Deputy Director
Ursula Parks

General Counsel
(Michael VanderBurg)
Legal Counsel
(Amy Borgstedte)

Communications Officer
John Barton

Assistant Director
(Wayne Pulver)

Assistant Director
(Paul Priest)

Assistant Director
(Vacant)

Assistant Director
(Bill Parr)

Team (Manager)

Team (Manager)

Team (Manager)

Team (Manager)

Team (Manager)

- **General Government**
  (Marva Scallion)
- **Health and Human Services**
  (Melitta Berger)
- **Natural Resources and Judiciary**
  (Zelma Smith)
- **Public Safety and Criminal Justice**
  (Angela Isaack)
- **Criminal Justice Data Analysis**
  (Michele Connolly)

- **Administration**
  (Karen Veriato)
- **Administrative Support**
  (Karen Veriato)
- **Application Support**
  (Karen Veriato)
- **Computing Services**
  (Gerry Caffey)
- **Editing and Graphics**
  (Karen Veriato)
- **Major Information Systems**
  (Gerry Caffey)
- **Staff Development and Training**

- **Estimates and Revenue Analysis**
  (Scott Dudley)

- **Business and Economic Development**
  (Mark Wiles)
- **Higher Education**
  (Rick Travis)
- **Public Education**
  (John McGeady)
- **Federal Funds Analysis**
  (Maria Hernandez)

- **Agency Performance Review**
  (Julie Ivie)
- **Applied Research and Performance Audit**
  (Garron Guszak)
- **School Performance Review**
  (Lesli Cathey)

House and Senate Committee Work

House Appropriations (HAC) and Senate Finance Committee (SFC) meetings
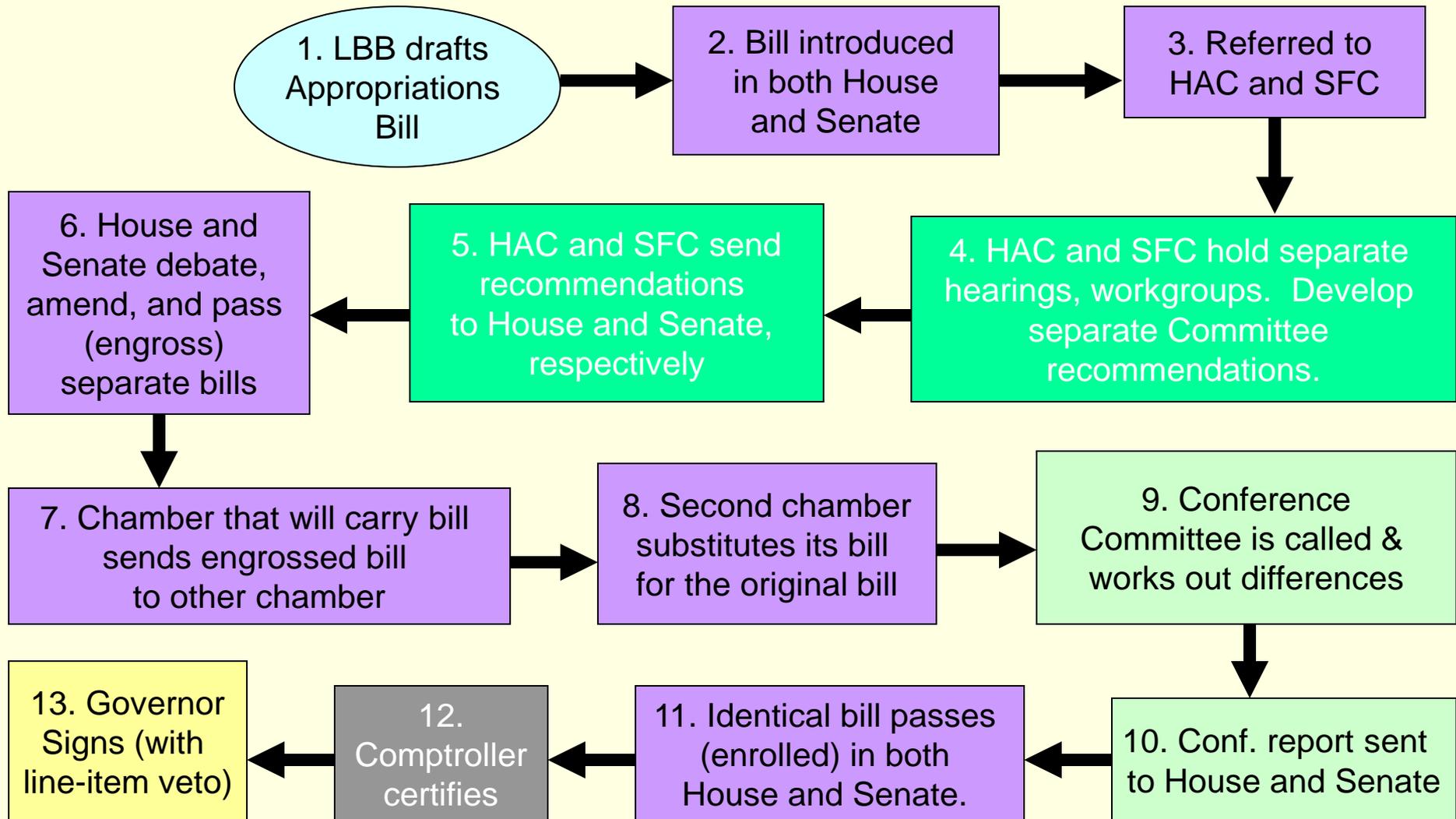
- **Budget Recommendations**
- **Performance Recommendations**

Standing House and Senate Committee and Subcommittee meetings

- **Fiscal Note Analysis**
- **Interim Charge Resource**
- **Research Requests**
- **Performance Recommendations**

Assist Workgroups and Conference Committees

General Appropriations Act -- From Bill to Law

1. LBB drafts Appropriations Bill

2. Bill introduced in both House and Senate

3. Referred to HAC and SFC

4. HAC and SFC hold separate hearings, workgroups. Develop separate Committee recommendations.

5. HAC and SFC send recommendations to House and Senate, respectively

6. House and Senate debate, amend, and pass (engross) separate bills

7. Chamber that will carry bill sends engrossed bill to other chamber

8. Second chamber substitutes its bill for the original bill

9. Conference Committee is called & works out differences

10. Conf. report sent to House and Senate

11. Identical bill passes (enrolled) in both House and Senate.

12. Comptroller certifies

13. Governor Signs (with line-item veto)

Budget

Major steps of the budget process:

- Strategic Planning
- Base Reconciliation
- Legislative Appropriations Request
- Joint Budget Hearings
- LBB Recommendations
- Public Testimony/Committee "Mark-up" Process
- Produce Conference Committee Bill and Fiscal Size-Up
- Budget Monitoring

Budget Analysis

Seven Teams:

- General Government
- Health & Human Services
- Public Education
- Higher Education

- Natural Resources & Judiciary
- Public Safety & Criminal Justice
- Business & Economic Development

- Scope includes all state agencies & institutions
- Primary work products: GAA and Summaries, LBE and Summary, Fiscal Size-Up
- Other duties:
  - Fiscal Notes
  - HHS Caseload and cost projections (HHS Team)
  - School finance modeling and projections (PE Team)
  - Higher education formula funding analysis (HE Team)

Performance Review

Develops reports that analyze or recommend policy changes

- Research reports developed during interim
- Informs legislature of policy improvement opportunities, often with a fiscal impact
- Scope includes all aspects of state government and some local government issues

Performance Review

Three teams

- Agency Performance Review
  - Scope includes all state government
  - Primary work product: *Effectiveness & Efficiency Report*

- School Performance Review
  - Scope includes public education and local school districts
  - Primary work product: Comprehensive reviews on individual school districts, statewide public education reviews, focused reviews

- Applied Research and Performance Audit
  - Primary work product: applying skills in demography, simulation modeling, auditing, and statistical analysis to researching policy solutions & improvements

Other work products: Fiscal Notes, background research memos, assistance with other agency publications, separate reports

Performance Review

Types of performance review recommendations

- Types of recommendations
  - Cost savings through reduced appropriations
  - Revenue generation through changes in fees and taxes
  - Program efficiency to target more dollars to intended purposes
  - Good government ideas to better serve Texans

- Mechanisms used to implement recommendations
  - Published reports and studies
  - Appropriation changes
  - Appropriations riders
  - Statutory change
  - Administrative rules changes/management directives

Performance Review Audit

- Oversees consultant contracting process

- Develops RFPs and RFQs

- Reviews and assesses state agencies' SAO and internal audit reports and maintains the reports in a database

Federal Funds Analysis

- Federal Budget Analysis
- Analysis of Federal law or regulation changes which may have budget impact
- Publications
  - *Texas State Government Effectiveness and Efficiency Report*
  - *Federal Funds Watch*
  - Top 100 Federal Funds
- Support for Analysts and Appropriations Bill Production

Criminal Justice Data Analysis

Discussed in Detail at End of Presentation

Estimates and Revenue Analysis

- **Biennial Activities**
  - Revenue Tracking
  - Spending Limit Construction
- **Session Activities**
  - Fiscal note coordinators assist analysts with fiscal notes and facilitate fiscal note production
  - Tax Equity Notes and Impact Statements
  - Revenue bill tracking
- **Reports**
  - Periodic reports: revenue tracking, economic indicators, economic stabilization fund, exports
  - *Annual Report on Major State Investments*

Major Information Systems

- Helps agencies substantiate capital projects for major information systems

  - Assists LBB analysts and agencies with IT reporting requirements

  - Analyzes costs for purchasing and maintaining computer equipment and networks

  - Produces annual Quality Assurance Team report with SAO and DIR

  - During the legislative session, an IR analyst is available for assistance with IT issues

General and Legal Counsel

- Assists agency and LBB staff with legal opinions
- Works with legislative members on Article IX of GAA – General Provisions
- Assists analysts in drafting riders
- Provides legal and other training
- Represents agency and Board at meetings and hearings

Staff Development and Training

- Professional Development Coordinator

  - Assists staff with staff development needs

  - Coordinates internal training opportunities

  - Secures registration at external training events

  - Maintains staff training records

Criminal Justice Data Analysis Team

Team's Core Functions

- Project Long-Term Adult and Juvenile Correctional Populations
- Calculate Recidivism Rates for Criminal Justice Populations
- Calculate Cost-Per-Day Information for Criminal Justice Populations
- Project the Impact of Proposed Legislation on Criminal Justice Populations
- Conduct Interim Research Projects

Interim Research Project Reports

- *Texas Community Supervision Revocation Project: A Profile of Revoked Felons During September 2005*, September 2006.

- *Texas Community Supervision Revocation Project: Fiscal Year 2006*, January 2007.

- *Texas Community Supervision Revocation Project: A Comparison of Revoked Felons During September 2005 and September 2007,* August 2008.

- *Texas At-Risk Youth Services Project*, January 2011.

Criminal Justice Teams Structure and Staff Members

Criminal Justice Data Analysis Team

Michele Connolly – Manager

Jamie Gardner – Juvenile Analysis

Adriana Marin – Adult Analysis

Laurie Molina – Adult Analysis

Ed Sinclair – Field and Qualitative Research

Criminal Justice Forum Logistics

Forum Parameters

- Diverse group of participants
- A learning opportunity for all
- Limited to the subject area
- Format:

  15 minutes overview and orientation

  45 minutes presentation of policy questions, methodologies and key findings

  30 minutes a facilitated discussion on the issues

Criminal Justice Forum Ground Rules

Presenter Information

- Legislative Budget Board staff

- LBB staff members are non-partisan

- Staff are not in a position to provide personal opinions

- CJDA Team focus on policy-oriented analysis